

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,120	08/14/2001	Ken Hanscom	

O I P E  
JAN 09 2004  
PATENT & TRADEMARK OFFICE  
David B. Harrison  
Chief Patent Counsel (Acting)  
Quantum Corporation  
501 Sycamore Drive  
Milpitas, CA 95035

CONFIRMATION NO. 9955  
FORMALITIES LETTER



\*OC00000006792851\*

Date Mailed: 09/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$456.
  - \$216 for 12 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1296.

*A copy of this notice MUST be returned with the reply.*

*[Signature]*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

O P E  
JAN 9 2004  
Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
Q00-1027-US1

In Re Application Of: KEN HANSCOM

Serial No.  
09/930,120

Filing Date  
8/14/01

Examiner  
NOT ASSIGNED

Group Art Unit  
2651

Invention: A TAPE GUIDE FOR REDUCING LATERAL TAPE MOVEMENT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

9/26/01

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

RETURN POSTCARD



DAC

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JAN 13 2004

In re Application of:	Ken Hanscom	)	OFFICE OF PETITIONS
Serial No.:	09/930,120 ✓	)	) Art Unit
Filed:	August 14, 2001	)	) Unknown
For:	A TAPE GUIDE FOR REDUCING LATERAL TAPE MOVEMENT	)	)
Examiner:	Unknown	)	)
Attorney Docket:	Q00-1027-US1 / 11198.70	)	)

Mail Stop Petitions  
Attn: Cheryl Baylor  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Ms. Baylor:

Per your request, transmitted herewith is a copy of the Petition previously filed on July 17, 2003 Pursuant to 37 CFR § 1.181 for Entry of Previously Submitted Response and Revival of Abandoned Application. The following is also provided:

- X Copy of Petition Submitted on July 17, 2003, pursuant to 37 CFR § 1.181 for Entry of Previously Submitted Response and Revival of Abandoned Application; Copy of the Notice of Abandonment Under 37 CFR 1.53(f) or (g); Copy of the Declaration of Charlotte Bowers including Exhibit "A", which includes a copy of the previously submitted Response to Notice of Missing Parts of Application which includes a Transmittal, Notice to File the Missing Parts, Oath or Declaration, Assignment, and the return receipt postcard; Copy of the timely filed, previously submitted Response to Notice of Missing Parts of Application to be entered;
- X Copy of negotiated Check No. 7951 in the amount of \$1338.00 to cover the original filing fee;
- X Copy of previously submitted Return Receipt Postcard dated July 17, 2003;

Cheryl Baylor  
Page 2

Return Receipt Postcard;

The commissioner is hereby authorized to charge payment of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1141; and

Any additional extension of time required for the timely submission of this paper, the fees for which have not been previously paid, is hereby petitioned for and requested.

DATED this the 6<sup>th</sup> day of January, 2004.

Respectfully submitted,

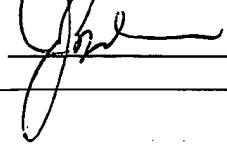


JAMES P. BRODER  
Attorney for Applicant  
Registration No. 43,514

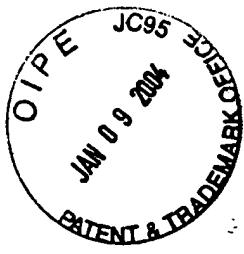
THE LAW OFFICE OF STEVEN G. ROEDER  
5560 Chelsea Avenue  
La Jolla, California 92037  
Telephone: (858) 456-1951

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

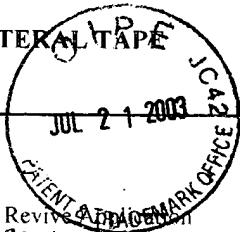
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this the 6<sup>th</sup> day of January, 2004.



JAMES P. BRODER, Attorney for Applicant--Registration No. 43,514



Received in the US Patent and Trademark Office  
In Re of: Ken Hanscom  
Filed: August 14, 2001  
Entitled: A TAPE GUIDE FOR REDUCING LATERAL TAPE  
MOVEMENT



Enclosed are:

- Transmittal
- Petition for Entry of Previously Submitted Response to Revive Application
- Copy of the Response to Notice of Missing Parts
- Declaration of Charlotte Bowers including Exhibit "A"
- Check 7951 in the amount of \$1338.00
- Return Receipt Postcard
- Copy of Notice of Abandonment

Q00-1027-US1 / 11198.70

July 17, 2003

RECEIVED

JAN 13 2004

OFFICE OF PETITIONS



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JAN 13 2004

OFFICE OF PETITIONS

ORIGINAL DOCUMENT PRINTED ON CHEMICAL REACTIVE PAPER WITH MICROPRINTED BORDER - SEE REVERSE SIDE FOR COMPLETE SECURITY FEATURES

7951

UNION BANK OF CALIFORNIA  
3261 Sports Arena Blvd. San Diego, CA 92110

16-49/1220

7/10/2003

5560 CHELSEA AVENUE  
LA JOLLA, CA 92037  
(858) 456-1951

PAY TO THE Commissioner of Patents and Trademarks  
ORDER OF \_\_\_\_\_

One Thousand Three Hundred Thirty-Eight and 00/100\*\*\*\*\*  
DOLLARS

\$ \*\*1,338.00

MEMO Serial no 09/930,120

THIS DOCUMENT CONTAINS HEAT SENSITIVE INK. TOUCH OR PRESS HERE - REC IMAGE DISAPPEARS WITH HEAT.

"007951" "122000496" "010022965"

SAFEGUARD  
SECURITY



PATENT AND TRADEMARK OFFICE  
13-10-0001  
07-23-2003  
FOR CREDIT TO THE  
U.S. TREASURY

0017 87220

RECEIVED  
JAN 13 2004

OFFICE OF PETITION:

MPSC 07/28/03

152510509

2403

0528903906  
07252003  
C520-0027-8 FRB BALTIMORE  
ENT=4211 TRC=3547 PK=10  
0722003

PO560011104  
FIRST VIRGINIA BANK  
0722003 FALLS CHURCH VA  
152510509

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
Q00-1027-US1

In Re Application Of: KEN HANSCOM

Serial No.  
09/930,120

Filing Date  
8/14/01

Examiner  
NOT ASSIGNED

Group Art Unit  
2651

Invention: TAPE GUIDE FOR REDUCING LATERAL TAPE MOVEMENT

JAN 09 2004



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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9/26/01

*Date*

Enclosed herewith for filing are the following:

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- Other (list):

RETURN POSTCARD

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.  
Q00-1027-US1

In Re Application Of: KEN HANSCOM

Serial No.  
09/930,120

Filing Date  
8/14/01

Examiner  
NOT ASSIGNED

Group Art Unit  
2651

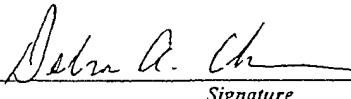
Invention: A TAPE GUIDE FOR REDUCING LATERAL TAPE MOVEMENT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,338.00 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0283  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0283.  
A duplicate copy of this sheet is enclosed.

  
\_\_\_\_\_  
Signature

Dated: NOVEMBER 20, 2001

DEBRA A. CHUN  
REG. NO. 38,700  
QUANTUM CORPORATION  
501 SYCAMORE DRIVE  
MILPITAS, CA 95035  
USA

CC:

I certify that this document and fee is being deposited on 11/20/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Signature of Person Mailing Correspondence

CHARLOTTE BOWERS

Typed or Printed Name of Person Mailing Correspondence